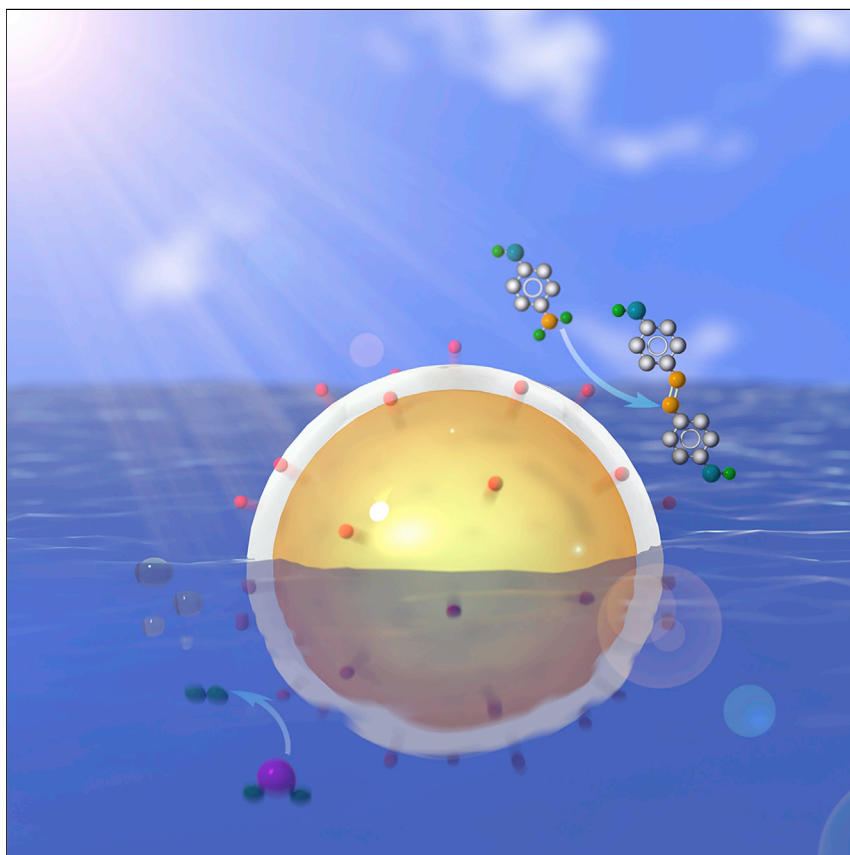


## Article

# Plasmon-Induced Interfacial Hot-Electron Transfer Directly Probed by Raman Spectroscopy



Plasmon-mediated photocatalysis via hot-electron transfer has attracted increasing interest recently. However, the interfacial hot-electron transfer mechanism and how it affects photocatalysis are still not completely understood. Herein, the plasmon-induced hot-electron transfer at different plasmonic interfaces is investigated *in situ* at a (sub)nanometer level. It is found that hot electrons can migrate across the Au-semiconductor or Au-metal interfaces and transfer more than 10 nm in semiconductors but less than 1 nm in metals.

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## HIGHLIGHTS

Hot-electron transfer at different interfaces is probed at a (sub) nanometer level

Hot electrons can go more than 10 nm in semiconductors but less than 1 nm in metals

Role of hot-electron transfer on photocatalysis and photoelectrocatalysis is revealed



Zhang et al., Chem 6, 689–702  
March 12, 2020 © 2019 Elsevier Inc.  
<https://doi.org/10.1016/j.chempr.2019.12.015>



## Article

# Plasmon-Induced Interfacial Hot-Electron Transfer Directly Probed by Raman Spectroscopy

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## SUMMARY

Plasmon-mediated photocatalysis via hot-electron transfer attracts increasing interest due to its capability to improve energy utilization efficiency. However, more insightful information is still needed to reveal the mechanism of interfacial hot-electron transfer. Herein, the plasmon-induced hot-electron transfer at different plasmonic interfaces, including Au-insulator (SiO<sub>2</sub>), Au-semiconductor (TiO<sub>2</sub> and Cu<sub>2</sub>O), and Au-metal (Pd and Pt), is directly investigated using surface-enhanced Raman spectroscopy (SERS) and density functional theory calculation with a (sub)nanometer spatial resolution, through the fabrication of well-defined plasmonic nanostructures. (Sub)nanometer-distance dependence of interfacial hot-electron transfer has been identified for the first time. Hot electrons can migrate across the Au-semiconductor or Au-metal interfaces and transfer more than 10 nm in semiconductors but decay to thermally equilibrated states rapidly in metals in less than 1 nm. Such a transfer process is blocked at the Au-insulator interface. This work promotes the fundamental understanding of plasmon-induced hot-electron transmission and photocatalysis at plasmonic interfaces.

## INTRODUCTION

Solar energy, because of its worldwide availability and renewable nature, is becoming the most promising energy resource for the future. Storage and conversion of solar energy into chemical energy via photocatalytic processes has attracted much interest since the pioneering work by Fujishima and Honda.<sup>1</sup> Extensive effort has been applied to improve the energy utilization efficiency of photocatalysis by optimizing photocatalysts.<sup>2</sup> A few strategies have been developed to achieve this goal, such as improving the light-absorbing efficiency of semiconductors,<sup>3</sup> accelerating the separation of photogenerated electron-hole pairs,<sup>4</sup> and tuning the properties of cocatalysts.<sup>5</sup> However, the performances of most photocatalytic processes are still low and need to be further improved to make them commercially viable and industrially scalable.

Recently, localized surface plasmon resonance (LSPR) of metallic nanomaterials has attracted increasing interest and has been used to improve the efficiency of various photocatalytic processes.<sup>6–8</sup> LSPR refers to the resonant collective oscillation of the valence electrons of nanomaterials when being excited by an incident light source whose frequency matches the natural frequency of oscillating surface electrons.<sup>9</sup> As the resonant wavelength and LSPR intensity of a metal depend on its composition, size, morphology, and structure, it is possible for a photocatalyst to interact with

## The Bigger Picture

Plasmonic materials like Au, Ag, and Cu nanostructures can generate energetic hot electrons when being excited by a suitable incident light. Such hot electrons would be transferred to other materials and greatly boost photocatalytic processes occurring there. However, the hot-electron transfer mechanism and how it affects photocatalysis is still not completely understood, greatly hindering the rational design of efficient plasmonic photocatalysts. Herein, the transfer of hot electrons at Au-metal, Au-semiconductor, and Au-insulator interfaces, as well as its role on plasmon-mediated photocatalysis and photoelectrocatalysis, is investigated using *in situ* Raman spectroscopy. Furthermore, the spatial distance that hot electrons can go in metals and semiconductors is unveiled at a (sub)nanometer level. Such a fundamental study provides guiding insights for the development of efficient plasmonic photocatalysts.



sunlight in the entire solar spectrum by manipulating these factors.<sup>10</sup> Therefore, various LSPR-type photocatalysts and photocatalytic processes have been developed over the last decade. For example, plasmonic nanomaterials under light illumination can directly activate H<sub>2</sub>, O<sub>2</sub>, etc., thus, advancing hydrogenation or oxidation reactions.<sup>11–14</sup> They can also form nanocomposites with semiconductors and extend their light absorption spectra.<sup>15–19</sup> Furthermore, plasmonic nanomaterials can affect the catalytic properties of cocatalysts such as Pt and Pd nearby so that the activity or selectivity of the cocatalysts can be enhanced.<sup>20–22</sup>

Different mechanisms have been proposed to explain the plasmon-enhanced photocatalysis.<sup>7,23,24</sup> Among them, the hot-electron transfer mechanism has attracted the most attention. Plasmonic nanomaterials can generate hot electrons that have energies much higher than those at the thermal equilibrium state. The generated hot electrons can be transferred either into the frontier orbital of reactants or the conduction bands of semiconductors. Therefore, hot electrons can drive reactions that cannot be achieved via other conventional methods, such as heating etc., opening up new reaction pathways for the chemical industry.<sup>25</sup> Such a hot-electron transfer process at plasmonic interfaces, especially plasmonic metal-semiconductor interfaces, was demonstrated using time-resolved spectroscopy, Schottky diodes, or even density functional theory (DFT) simulations.<sup>18,26–28</sup> However, a fundamental understanding of the plasmon-induced interfacial hot-electron transfer and how it affects photocatalysis is still lacking, which significantly limits the development of more efficient LSPR-type photocatalysts.

One way to solve this significant puzzle is using spectroscopic techniques to monitor the reaction processes to uncover the fundamental insights of the plasmon-enhanced photocatalysis. Of the spectroscopic techniques available, Raman spectroscopy holds great potential as it can provide “fingerprint” structural information. Furthermore, the plasmonic materials used in plasmon-mediated photocatalysis can also generate strong and highly localized electromagnetic fields that can significantly enhance the Raman signals of molecules nearby, leading to the well-known surface-enhanced Raman spectroscopy (SERS).<sup>29,30</sup> SERS is extremely sensitive and can even achieve the detection of single molecules, making it a promising tool for the *in situ* study of catalysis with excellent sensitivity and high spatial resolution.<sup>31,32</sup> Therefore, it offers unprecedented opportunities for the in-depth exploration of plasmon-induced hot-electron transfer, as well as plasmon-enhanced photocatalysis, via tactful design and manipulating LSPR-type photocatalysts that allow *in situ* SERS study and plasmon-enhanced photocatalysis simultaneously.

Herein, the transmission of hot electrons, generated on the Au nanoparticles, in various materials, including insulators (SiO<sub>2</sub>), semiconductors (TiO<sub>2</sub> and Cu<sub>2</sub>O), and metals (Pd and Pt), and its influence on the photocatalytic conversion of para-aminothiophenol (pATP) and photoelectrochemical water splitting was directly investigated at a (sub)nanometer level using *in situ* SERS and DFT calculations. It is found that photogenerated hot electrons could only be transferred from Au to semiconductors or metals, but would be blocked at the Au-insulator interface, due to the very different electronic structures at these plasmonic interfaces. Furthermore, the distance that hot electrons can go in semiconductors or metals is very different. Hot electrons can travel more than 10 nm in semiconductors, but less than 1 nm in metals, to the surface and mediate the photocatalytic reaction occurring there.

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<https://doi.org/10.1016/j.chempr.2019.12.015>

## RESULTS

### Fabrication of the Gap-Mode Configuration Allowing Plasmon-Enhanced Photocatalysis and *In Situ* SERS Study Simultaneously

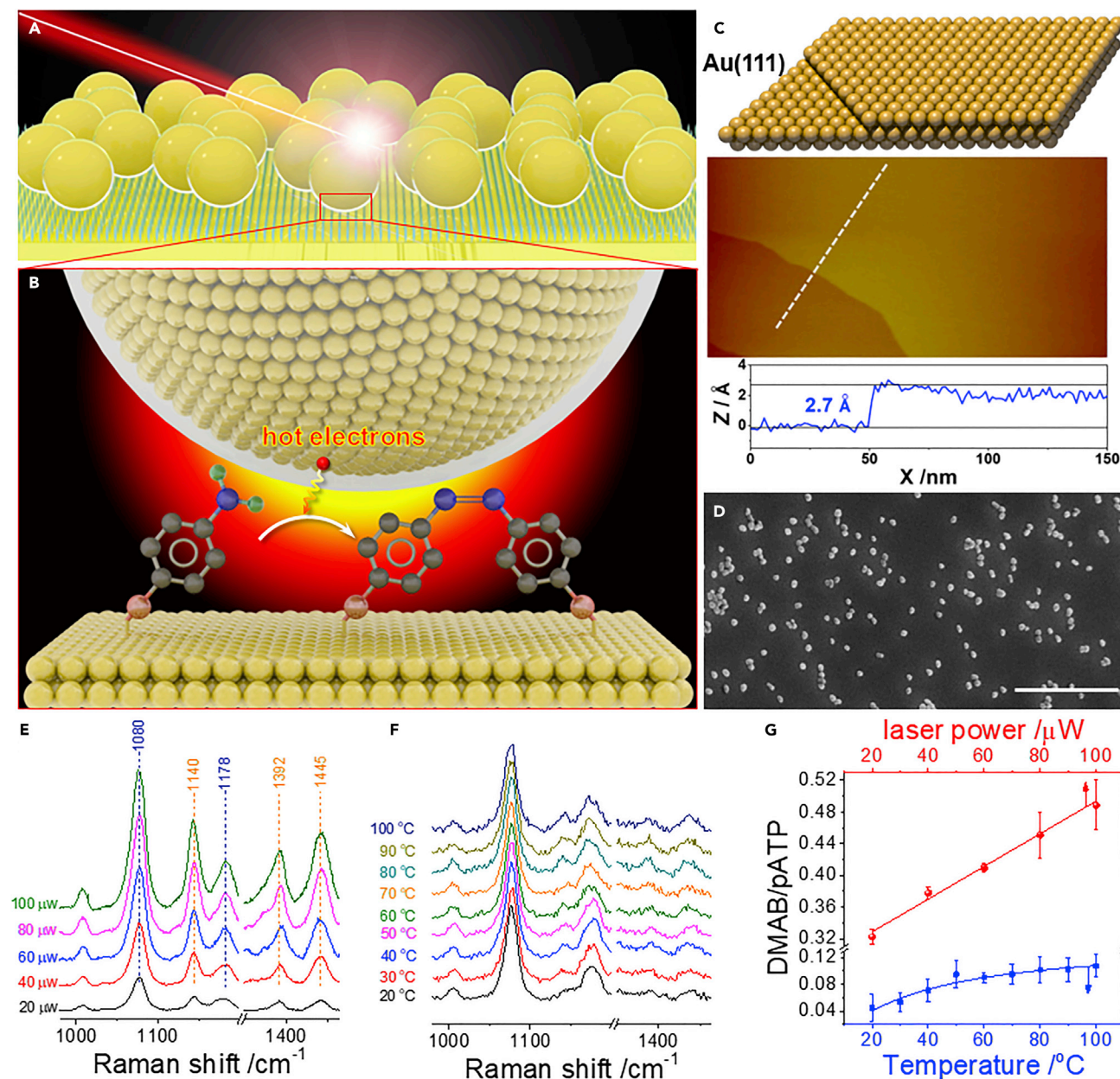
A gap-mode configuration was employed to explore the transmission of hot electrons in various materials (Figures 1A and 1B). pATP was first assembled on a Au(111) single crystal surface that has an atomic flat surface structure (Figure 1C), so that the influence of the roughness of the underlying substrate is excluded and all the molecules are located at the same horizontal position. Core-shell nanoparticles, composed of Au-core nanoparticles coated with different material shells, were deposited on the Au(111) surface and were used to catalyze the conversion of pATP to p,p'-dimercaptoazobenzene (DMAB). This reaction has been postulated to be driven by hot electrons<sup>33</sup> and is an important probe reaction in SERS, tip-enhanced Raman spectroscopy (TERS), Raman imaging, etc.<sup>34,35</sup> If the hot electrons generated on the Au cores can be transferred into the shells then pass through the shells, they will likely react with pATP, leading to the formation of DMAB. Therefore, transmission of hot electrons in different plasmonic interfaces and materials can be easily studied by changing the composition and thickness of the shells.

The Au(111) single crystal surface was prepared in a hydrogen-oxygen flame via the well-established Clavilier method and shows a well-defined surface structure (Figure 1C). A monolayer of pATP was first assembled on the Au(111) single crystal surface. The as-prepared bare Au nanoparticles (~55 nm, Figure S1) were then deposited on the pATP assembled surface, forming a submonolayer structure (Figure 1D). As Au(111) cannot generate hot electrons directly, all the hot electrons participating in the photocatalytic conversion of pATP come from the Au nanoparticles, allowing the study of the transfer of hot electrons using core-shell nanoparticles.

Figure 1E displays the SERS spectra of such a Au/pATP/Au(111) gap-mode structure under the excitation of a 633 nm laser. The characteristic pATP Raman peaks located at ~1,000, ~1,080, and 1,178  $\text{cm}^{-1}$ , attributed to the benzene ring bending mode and the C-S ( $\nu_{\text{C-S}}$ ) and C-N ( $\nu_{\text{C-N}}$ ) stretching modes, respectively,<sup>33</sup> are clearly observed because of the strong Raman enhancement generated by the Au nanoparticles. Also, three additional peaks located at 1,140, 1,392, and 1,445  $\text{cm}^{-1}$  are observed. These peaks can be assigned to the C-N and N=N stretching vibrational modes of DMAB.<sup>33,36</sup> We find that the yield of DMAB can be tuned by changing the Au size (see Figure S2 and the corresponding discussion for details), which is well consistent with previous studies.<sup>37</sup> It has also been reported that the yield and selectivity of DMAB can be further improved by changing the shape of Au nanoparticles, adding some metal cations, applying an external electric field, or manipulating the incident light.<sup>38-41</sup> According to previous studies,<sup>36,42</sup> the formation of DMAB is catalyzed by the hot electrons generated by the Au nanoparticles.

To confirm that the conversion of pATP to DMAB is triggered by hot electrons generated in the Au nanoparticles, we then studied the conversion of pATP with different laser powers. With increasing laser power, the relative intensity of DMAB to that of pATP also increases, though all the Raman peaks increase rapidly (Figure 1E), clearly demonstrating that this reaction is activated by light. We have also investigated the influence of plasmon heating on this reaction, as the temperature of the samples would be increased under illumination. To avoid the interference of the laser, a very low laser power (~10  $\mu\text{W}$ ) and a defocusing strategy were applied in this experiment. Under such conditions, the yield of DMAB is rather low and only slightly





**Figure 1. In Situ SERS Study of the Plasmon-Induced Hot-Electron Transfer at Different Plasmonic Interfaces**

(A and B) Schematic illustration of *in situ* SERS study on the interfacial transmission of hot electrons using the photocatalytic conversion of pATP to DMAB as a probe reaction.

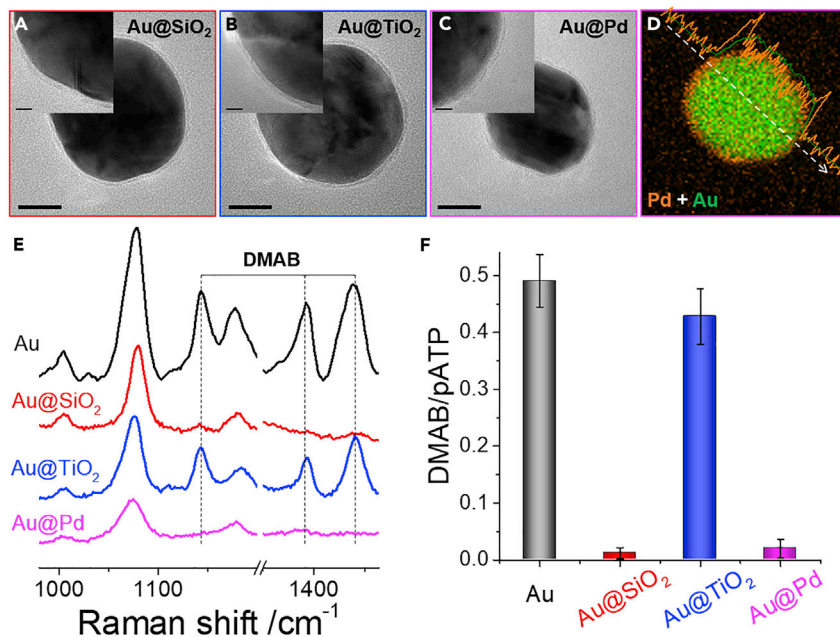
(C) Schematic and scanning tunneling microscopy (STM) image of the Au(111) single crystal surface. The STM image was obtained using a Pt-Ir tip with a dimension of  $150 \times 300 \text{ nm}^2$ . The bottom panel shows the height profiles corresponding to the white dashed line in the STM image.

(D) SEM image of a submonolayer of Au nanoparticles on Au(111). Scale bar,  $1 \mu\text{m}$ .

(E) SERS spectra of the conversion of pATP catalyzed by bare Au nanoparticles under different incident laser powers. Excitation laser,  $633 \text{ nm}$ ; exposure time,  $10 \text{ s}$ , and temperature:  $20^\circ\text{C}$ .

(F) SERS spectra of the conversion of pATP catalyzed by bare Au nanoparticles at different temperatures. Excitation laser,  $633 \text{ nm}$ ; exposure time,  $10 \text{ s}$ ; laser power,  $10 \mu\text{W}$ ; and a defocusing strategy was applied to avoid the interference of the laser.

(G) The relative intensity of DMAB to pATP bands under different incident laser powers and temperatures.



**Figure 2. Photocatalytic Conversion of pATP Using Different Core-Shell Nanoparticles**

(A–C) TEM images of Au@SiO<sub>2</sub>, Au@TiO<sub>2</sub>, and Au@Pd, respectively. Scale bars, 20 nm and 5 nm for the insets.

(D) Elemental maps and line scan analysis of Au@Pd.

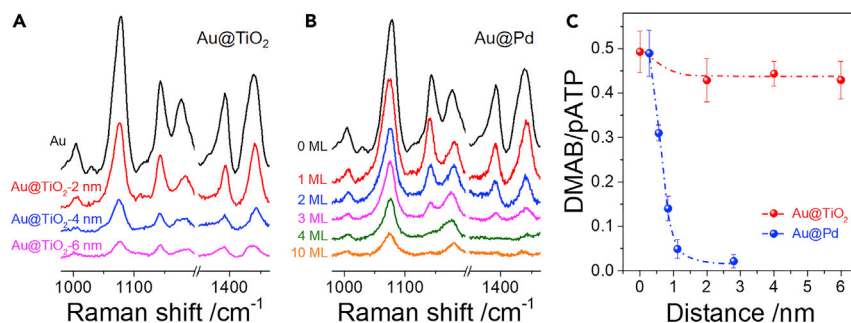
(E) SERS spectra of the conversion of pATP adsorbed on Au(111) catalyzed by different core-shell nanoparticles. Excitation laser, 633 nm; laser power, 0.1 mW; and exposure time, 10 s.

(F) Relative Raman intensity of DMAB to pATP for different nanostructures.

increases with temperature (Figure 1F). The quantitative results in Figure 1G illustrate that the yield of DMAB displays a linear relationship with the laser power, which is a typical signature of hot-electron-driven chemical reactions,<sup>12</sup> but an exponential relationship with temperature. These results are consistent with previous studies and clearly confirm that the plasmon-mediated conversion of pATP to DMAB is a hot-electron-driven reaction, though a plasmon heating effect can slightly improve the efficiency.<sup>43–45</sup>

### Hot-Electron Transfer at Different Plasmonic Interfaces

To investigate the transmission of hot electrons at different plasmonic interfaces, nanostructures with Au cores and different shells were then prepared via seed-mediated methods (see the Supplemental Information for detailed synthesis procedures). SiO<sub>2</sub>, TiO<sub>2</sub>, and Pd, as representative examples of insulators, semiconductors, and conductors, respectively, were coated on the Au-core nanoparticles, forming homogeneous shells with thicknesses of around ~2 nm (Figures 2A–2C and S3). The scanning transmission electron microscopy (STEM)-EDX elemental mapping and line scan analysis further demonstrate the core-shell-like structure (Figure 2D). Our previous studies using SERS have well demonstrated that these materials could form complete shells on the Au nanoparticles,<sup>46–48</sup> thus direct contact between the Au cores and the probe molecules could be excluded. Furthermore, the UV-vis absorption measurement illustrates that the LSPR band of the Au cores only shift slightly to a higher wavelength after coating these ultrathin shells on them (Figure S4).



**Figure 3. Influence of Shell Thickness on the Transmission of Hot Electrons**

(A and B) SERS spectra of the conversion of pATP adsorbed on Au(111) catalyzed by Au@TiO<sub>2</sub> and Au@Pd with different shell thicknesses. Excitation laser, 633 nm; laser power, 0.1 mW; and exposure time, 10 s.

(C) Relative Raman intensity of DMAB to pATP as a function of the shell thickness.

The as-prepared core-shell nanoparticles were then deposited on the pAPT monolayer-assembled Au(111) single crystal surface to study the transmission of hot electrons at the plasmonic Au-shell interfaces. As for the insulator shell, i.e., Au@SiO<sub>2</sub>, only the Raman peaks of pATP were observed under the irradiation of a 633 nm laser, while the formation of DMAB is almost completely inhibited (red curve of Figures 2E and 2F). Such a result is well consistent with previous studies<sup>49</sup> and demonstrates that the SiO<sub>2</sub> shell can block the transmission of hot electrons. Furthermore, it can also be inferred that the generation of hot electrons would not occur in Au(111) even in the presence of a plasmonic nanogap. However, when the shell was changed from an insulator to a semiconductor, i.e., Au@TiO<sub>2</sub>, the three characteristic Raman peaks of DMAB appear again and the intensities relative to pATP are very similar to that for the bare Au nanoparticles (blue curve of Figures 2E, 2F, and S5). Furthermore, Figure S6 illustrates that no DMAB is formed for Au@SiO<sub>2</sub>@TiO<sub>2</sub>, which demonstrates that the conversion of pATP to DMAB does not result from the catalysis role of TiO<sub>2</sub> itself. Therefore, hot electrons generated by the Au core can migrate across the Au-TiO<sub>2</sub> interface and through the TiO<sub>2</sub> shell to its outer surface and drive the photocatalytic conversion of pATP to DMAB. More interestingly, when the Au nanoparticles were coated with Pd, an excellent electronic conductor, DMAB is also not observed (pink curve of Figures 2E and 2F). As the excitation light (633 nm) used here cannot stimulate the plasmonic effect on Pd whose LSPR band locates at the UV region, hot electrons would be generated in the Au cores and then transfer to the Pd surface. Therefore, such unexpected results illustrate that the hot electrons would not be transferred across the Pd shell.

### Transmission Distance of Hot Electrons in Semiconductors and Metals

The distance that the hot electrons can transfer in TiO<sub>2</sub> and Pd was further investigated at a (sub)nanometer level by changing the thickness of the TiO<sub>2</sub> and Pd shells (Figure S7). As shown in Figures 3A and 3B, the intensity of all the Raman signals decreases rapidly with an increase in shell thickness due to the attenuation of the electromagnetic field at the Au(111) surface. However, DMAB can still be observed for Au@TiO<sub>2</sub> with thicker shells. Quantitatively, the yield of DMAB with Au@TiO<sub>2</sub> remains almost unchanged even when the shell thickness is increased to 6 nm (Figure 3C). Such results indicate that once the hot electrons are transferred into the TiO<sub>2</sub> shell, they would behave similar to the free electrons of TiO<sub>2</sub>. As the mean free path of electrons in TiO<sub>2</sub> is about several tens of nanometers, these electrons can efficiently transfer to the surface and react with pATP there.

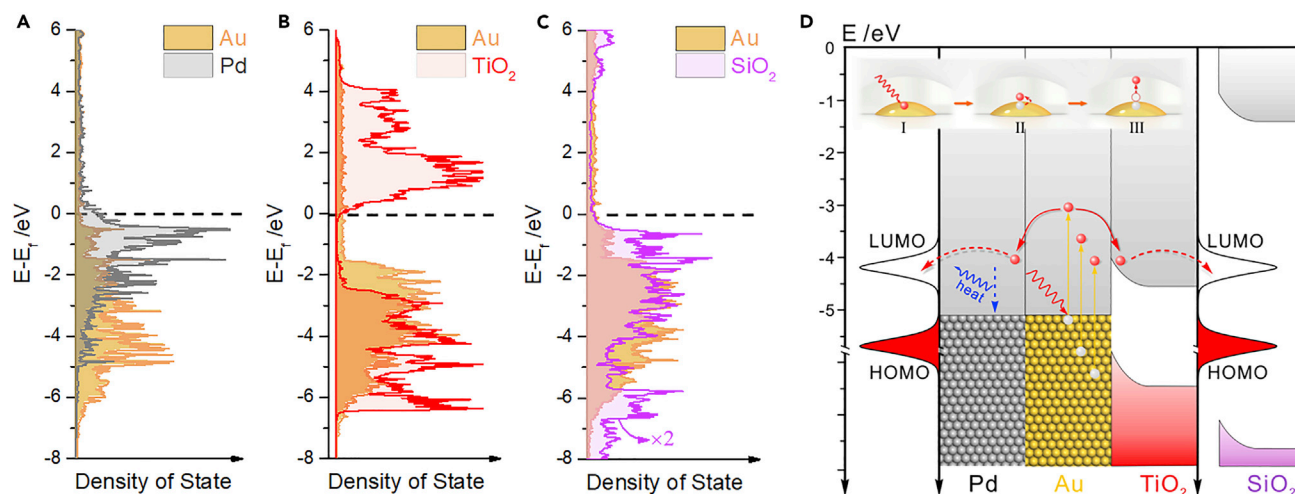
On the other hand, for the Pd shell, the formation of DMAB is strongly dependent on the shell thickness. 1–10 monolayers (MLs) of Pd was coated on the Au nanoparticles (1 ML of Pd is about  $0.28 \text{ nm}^{50}$ ). From Figure 3B, the yield for DMAB for Au@Pd-1 ML is similar to that for the bare Au nanoparticles. However, it declines significantly with increasing Pd shell thicknesses. The yield of DMAB for Au@Pd-2 ML is only ~60% of that for Au@Pd-1 ML and almost no DMAB is generated when 4 ML Pd is coated on the Au nanoparticles (Figure 3C). Such results clearly indicate that the hot electrons generated on Au can be transferred to the Pd shell via the Pd-Au interface but the distance that the hot electrons can go in Pd is  $< 1 \text{ nm}$ , much shorter than in  $\text{TiO}_2$ . Furthermore, the influence of the Pd-Au interface on the transmission of hot electrons was also studied using Au-core Pd-satellite nanocomposites (Figure S8A), which have been frequently used as efficient catalysts for plasmon-mediated photocatalysis.<sup>20,21,51</sup> The quantity of the Pd-Au interfaces was manipulated by changing the density of the Pd nanoparticles on the Au nanoparticles (Figures S8B–S8E). It was clearly found that the relative intensity of DMAB to pATP significantly declined with an increase in the quantity of the Pd-Au interfaces (Figure S8F), directly demonstrating that the hot electrons generated on the Au nanoparticles would migrate to Pd via the Pd-Au interfaces.

To further compare the distance that hot electrons can transfer in semiconductors and metals, photocatalytic conversion of pATP to DMAB was also investigated using Au@Cu<sub>2</sub>O and Au@Pt core@shell nanoparticles. As shown in Figure S9, Cu<sub>2</sub>O, a typical semiconductor with a band gap smaller than that of  $\text{TiO}_2$ , is coated on Au nanoparticles, forming Au@Cu<sub>2</sub>O core@shell nanoparticles with a shell thickness of ~5 and ~10 nm. Similar to Au@TiO<sub>2</sub>, DMAB is clearly observed when the Au@Cu<sub>2</sub>O nanoparticles are used in the photocatalytic conversion of pATP to DMAB. The relative intensity of DMAB to pATP remains almost unchanged when the thickness of the Cu<sub>2</sub>O shell increases from 5 to 10 nm. Such results indicate that hot electrons generated on Au nanoparticles can be transferred to the Cu<sub>2</sub>O shell and transfer more than 10 nm in Cu<sub>2</sub>O. On the contrary, as for the Pt metal coated Au nanoparticles, the yield of DMAB is significantly decreased when the thickness of the Pt shell increases from 2 monolayers to 10 monolayers (Figure S10), further demonstrating that the distance that hot electrons can transfer in metals is much shorter than that in semiconductors. Such a result is also well consistent with the finite element method (FEM) simulations by Linic et al.<sup>52</sup> They found that the Au nanoparticles could not drive the transfer of the plasmonic energy into the Pt shells on them. But such energy transfer would occur at Ag-Pt interfaces, leading to the direct generation of hot electrons in Pt, thus complicating the hot-electron transfer behaviors at the interfaces.

### Interfacial Hot-Electron Transfer Mechanism

To understand the transmission mechanism of hot electrons at plasmonic interfaces, DFT was further performed to determine the projected density of states (DOSs) of different interfaces (Figures 4A–4C). At the Au-SiO<sub>2</sub> interface, the SiO<sub>2</sub> overlayer displays a band gap of more than 4 eV. Such a value is much smaller than that of bulk SiO<sub>2</sub> (Figure S11A), indicating the existence of strong electronic interactions between the SiO<sub>2</sub> overlayer and the underlying Au. The bottom of the conduction band of the SiO<sub>2</sub> overlayer locates at ~4.2 eV (Figure 4C). Given that a 633 nm laser was used as the excitation light source, the maximum energy of the hot electrons generated by the Au nanoparticles is only about 1.9 eV. Therefore, these electrons are not “hot” enough to be transferred to the conduction band of the SiO<sub>2</sub> overlayer. In other words, the hot electrons cannot be transferred through the Au-SiO<sub>2</sub> interface.





**Figure 4. Mechanisms for the Transmission of Hot Electrons at Different Plasmonic Interfaces**

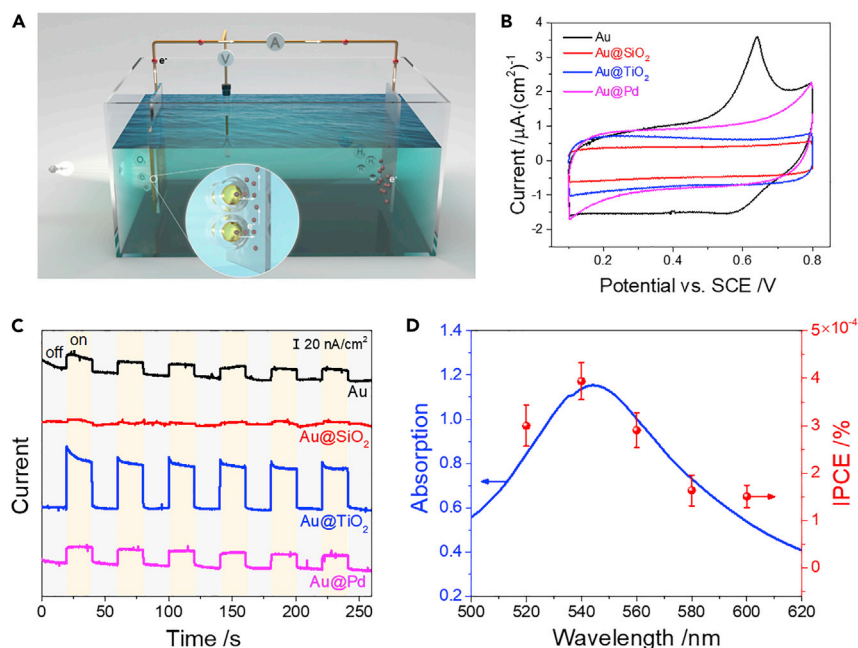
(A–C) Projected DOSs for the Au-Pd, Au-TiO<sub>2</sub>, and Au-SiO<sub>2</sub> plasmonic interfaces, respectively.

(D) Proposed mechanism for the transportation of hot electrons at the plasmonic interfaces. (1) generation of hot electrons at the Au nanoparticles, (2) transmission of hot electrons at the plasmonic interfaces, and (3) migration of hot electrons in the shell materials.

The DOS of the Au-TiO<sub>2</sub> interface is also calculated, which is very different from that of pure TiO<sub>2</sub> because of the electronic interaction between Au and TiO<sub>2</sub> (Figure S11B). As displayed in Figure 4B, the bottom of the conduction band of the TiO<sub>2</sub> overlayer is only slightly higher than the Au Fermi level and the DOS maximum is close to 1 eV. As a result, the photogenerated hot electrons would rapidly enter the conduction band of TiO<sub>2</sub> from Au via the Au-TiO<sub>2</sub> interface. Because of the Schottky barrier between TiO<sub>2</sub> and Au, hot electrons would have a much longer lifetime in TiO<sub>2</sub> than Au and can thus migrate similar to free electrons to the surface of the TiO<sub>2</sub> shell and drive photocatalytic reactions, as was observed.<sup>9,53</sup> Furthermore, as only the hot electrons with energy higher than the Schottky barrier can be transferred to TiO<sub>2</sub>, the photocatalytic performance of Au@TiO<sub>2</sub> is slightly lower than that of bare Au nanoparticles.

Unlike SiO<sub>2</sub> and TiO<sub>2</sub>, Pd is an excellent electronic conductor, thus the Pd overlayer displays a continuous electronic band structure and the DOS above the Fermi level is low. Hence, the hot electrons generated in the Au cores could easily be transferred to the Pd shell. However, due to its continuous electronic band structure, the lifetime of such electrons in Pd should be very short. As the thickness of the Pd overlayer increases, the hot electrons would rapidly decay to thermally equilibrated states via recombination with the hot holes, electron-electron interactions, or interacting with defects in Pd. Such a decay process can also be demonstrated by the higher local temperature observed for Au@Pd than the bare Au nanoparticles (Figure S12).

Based on the above findings, a three-step mechanism for the transmission of hot electrons was proposed here (Figure 4D). Hot electrons are first generated at the Au cores under the excitation of incident light, and their energy is dependent on the wavelength of the incident light. To participate in the photocatalytic conversion of pATP, the hot electrons need to transfer across the interface between the Au core and the shell then go into the shell. After that, the hot electrons would migrate in the shell and arrive at its surface to trigger the conversion of pATP to DMAB. For the Au-insulator (Au-SiO<sub>2</sub>) interface, the energy of the conduction band of the insulator overlayer is so high that the photogenerated hot electrons cannot transfer across



**Figure 5. Photoelectrochemical Water Splitting Using the Plasmonic Core-Shell Nanoparticles**

(A) Schematic diagram of photoelectrochemical water splitting using core-shell nanoparticles as the working electrode.

(B) Cyclic voltammograms of bare Au nanoparticles and core-shell nanoparticles.

(C) Amperometric i-t curves of bare Au nanoparticles and core-shell nanoparticles at 0.7 V versus SCE.

(D) Incident photon-to-electron conversion efficiencies at different wavelengths of Au@TiO<sub>2</sub> at 1.0 V versus SCE and the UV-vis spectrum of Au@TiO<sub>2</sub>.

the Au-insulator interface and are thus insulated from reacting with pATP. For the Au-metal (Au-Pd or Au-Pt) interface, the hot electrons can be transferred into the Pd or Pt shell via the Au-metal interface but their lifetime in metal is much shorter due to the continuous electronic band structure of metal. Therefore, the hot electrons can only travel a very short distance in metals ( $< 1$  nm). As for the Au-semiconductor (Au-TiO<sub>2</sub> or Au-Cu<sub>2</sub>O) interface, the conduction band of the semiconductor overlayer locates near the Au Fermi level so that the hot electrons can be easily transferred to the semiconductor shell via the Au-semiconductor interface. At the same time, due to the Schottky barrier, the hot electrons have a long lifetime in semiconductors. Consequently, hot electrons can penetrate very thick semiconductor shells ( $> 10$  nm) and trigger photocatalytic reactions on the surface.

### Photoelectrochemical Water Splitting Using the Plasmonic Core-Shell Nanoparticles

To further confirm the interfacial hot-electron transfer mechanism and expand it to more practical systems besides the above model reaction of pATP to DMAB, the plasmonic core-shell nanoparticles with different shell materials are then applied in the photoelectrochemical water splitting. As is well known, the photoelectrochemical water splitting is one of the most important reactions in the energy field and a promising way for sustainable H<sub>2</sub> generation. In such a photoelectrochemical system, the bare Au nanoparticles or core-shell nanoparticles with 2 nm shells are used as the working electrode. The hot electrons generated in the bare Au or core-shell nanoparticles flow to the Pt counter electrode via the external circuit and drive the hydrogen evolution reaction (HER) there (Figure 5A). The cyclic

voltammogram of bare Au nanoparticles displays a strong oxidation peak at around 0.65  $V_{SCE}$  (Figure 5B), which can be assigned to the oxidation of the Au surface. Such a peak completely disappears for Au@SiO<sub>2</sub>, Au@TiO<sub>2</sub>, and Au@Pd, indicating that the Au surface has been fully covered by SiO<sub>2</sub>, TiO<sub>2</sub>, and Pd, respectively, in these core-shell nanoparticles.

Figure 5C shows the amperometric *i-t* curves of the bare Au nanoparticles and different core-shell nanoparticles at a constant potential of 0.7  $V_{SCE}$ . A prompt and reproducible photocurrent can be clearly observed for the bare Au nanoparticles. Such a photocurrent almost completely disappears for the Au@SiO<sub>2</sub> core-shell nanoparticles. This result further confirms that the silica shells can block the transfer of hot electrons, which is well consistent with the finding in plasmon-mediated conversion of pATP to DMAB. Similar photoresponse has been observed for Au@Pd. This is very different from the result of the photocatalytic conversion of pATP, which shows that Au@Pd cannot catalyze the conversion of pATP to DMAB. As discussed before, hot electrons can be transferred from Au to Pd via the Au-Pd interface but the lifetime of hot electrons in Pd is very short. Thus, they would decay to thermally equilibrated states in less than 1 nm and cannot participate in the photocatalytic conversion of pATP. However, in photoelectrochemical water splitting, the bias voltage in the external circuit can drive the separation of hot electrons and holes thus prolong the lifetime of hot electrons. Therefore, hot electrons can go further distances in Pd under such a circumstance and take part in the HER reaction in the counter electrode, leading to the observed photocurrent of Au@Pd.

As for Au@TiO<sub>2</sub>, much higher photocurrent is also observed (Figure 5C) and the photocurrent at 0.7 and 1.0 V is about 1.8 and 2.4 times higher than the dark current (Figure S13). The better performance of Au@TiO<sub>2</sub> compared to bare Au nanoparticles should result from the Schottky barrier between Au and TiO<sub>2</sub>, which further promotes the separation of hot electrons and holes. Moreover, the incident photon-to-electron conversion efficiencies at different wavelengths match well with the UV-vis spectrum of Au@TiO<sub>2</sub> and display a maximum value at the plasmonic band of Au (Figure 5D). Such results directly demonstrate that the enhanced photoelectrochemical performance results from the plasmonic effect of Au nanoparticles and further confirm that hot electrons can migrate across the Au-TiO<sub>2</sub> interfaces and transfer through the TiO<sub>2</sub> shell. Therefore, it can be anticipated that the fundamental mechanism for the interfacial hot-electron transfer reported here may also apply to other plasmon-enhanced photocatalytic processes.

In summary, the transmission of hot electrons at plasmonic interfaces was studied with a (sub)nanometer spatial resolution using *in situ* SERS and DFT herein. Core-shell nanoparticles consisting of Au cores and different shells including SiO<sub>2</sub>, TiO<sub>2</sub> (and Cu<sub>2</sub>O), and Pd (and Pt) as representative examples for insulators, semiconductors, and metals, respectively, were prepared and deposited on a Au(111) single crystal surfaces. Between the Au(111) surface and core-shell nanoparticles, a monolayer of pATP was adsorbed on the Au(111), forming a model sandwich gap-mode structure. Combined *in situ* SERS and DFT calculations and the transmission of hot electrons in these insulators, semiconductors, and metals was then studied using the photocatalytic conversion of pATP to DMAB as a probe reaction. It was demonstrated that the hot electrons generated by the Au nanoparticles could transfer from Au to semiconductors (TiO<sub>2</sub> and Cu<sub>2</sub>O) via the Au-semiconductor interfaces and migrate in the semiconductors for a long distance (>6 nm in TiO<sub>2</sub> and >10 nm in Cu<sub>2</sub>O) to its shell surface to participate in photocatalytic reactions. Such an interfacial hot-electron transfer process would also proceed at the Au-metal (Au-Pd or

Au-Pt) interfaces, but the hot electrons decayed to a thermally equilibrated state after entering these metals when the shell thickness was  $> 1$  nm because of the continuous electronic band structure of these metals. However, the transmission of hot electrons was blocked at the Au-insulator (Au-SiO<sub>2</sub>) interface due to the high conduction band of insulators, thus the photocatalytic coupling of pATP to DMAB was inhibited. Such fundamental findings are also supported by the results of photoelectrochemical water splitting using these core-shell nanoparticles. This work deepens the fundamental understanding on the mechanism of the transmission of hot electrons at plasmonic interfaces and promotes the design of highly efficient plasmon-enhanced photocatalysts.

## EXPERIMENTAL PROCEDURES

### Synthesis and Characterization

Au nanoparticles were prepared according to the Frens' method,<sup>54</sup> and the size of the Au nanoparticles were tuned by changing the amount of the sodium citrate solution. For the synthesis of Au nanoparticles with a size of 80 and 120 nm, a seed-mediated method was employed (see the [Supplemental Information](#) for details). Then, SiO<sub>2</sub>, TiO<sub>2</sub>, Cu<sub>2</sub>O, Pd, and Pt shells were coated on the Au nanoparticles to generate the Au@SiO<sub>2</sub>, Au@TiO<sub>2</sub>, Au@Cu<sub>2</sub>O, Au@Pd, and Au@Pt core-shell nanoparticles, respectively. Au@SiO<sub>2</sub> nanoparticles were prepared according to our previous report by the hydrolysis of sodium silicate in the presence of (3-aminopropyl) trimethoxysilane (APTMS) as the coupling agent.<sup>46</sup> Au@TiO<sub>2</sub> was synthesized by the hydrolysis of titanium (IV) (triethanolaminate) isopropoxide (TTIP) in isopropyl alcohol, and the shell thickness was controlled by changing the amount of TTIP and water. Au@Cu<sub>2</sub>O was prepared by the reduction of CuSO<sub>4</sub> with ascorbic acid under alkaline conditions. Au@Pd or Au@Pt was obtained by reducing H<sub>2</sub>PdCl<sub>4</sub> or H<sub>2</sub>PtCl<sub>6</sub> on the as-prepared Au sols using ascorbic acid as the reducing agent. Au-core Pd-satellite nanocomposites were prepared using a charge-induced self-assembly method.<sup>55,56</sup> For the detailed synthetic procedure, please refer to the [Supplemental Information](#). The morphology and composition of the nanoparticles was characterized by HR-TEM coupled with energy dispersive X-ray spectrometry using a FEI Tecnai F30 microscope.

### SERS Measurement

The Au(111) single crystal surface was prepared via the Clavilier method.<sup>57</sup> The freshly prepared Au(111) single crystal surface was immersed in a 1 mM pATP solution for 5 h. It was then thoroughly washed with ethanol to remove the physically adsorbed molecules, allowing the formation of a monolayer of pATP on Au(111).  $\sim 10$   $\mu$ L concentrated Au nanoparticles or core-shell nanoparticles were then deposited on the pATP assembled Au(111). The sample was naturally dried before the Raman measurement. Raman measurements were carried out on a Jobin Yvon Horiba Xplora confocal Raman system with a  $\times 50$  microscope objective. A 633 nm laser was used as the excitation light. All the Raman measurements, unless special instruction was given, were performed at 20°C.

### Photoelectrochemical Measurements

All the electrochemical and photoelectrochemical experiments were carried out in a three-electrode system. The bare Au nanoparticles and core-shell nanoparticles were fabricated to two-dimensional metal nanoparticle arrays on ITO substrates via a two-phase method,<sup>58</sup> which was used as the working electrode in the measurements. A platinum sheet and a saturated calomel electrode (SCE) were used as the counter electrode and the reference electrode, respectively. 0.1 M Na<sub>2</sub>SO<sub>4</sub> aqueous solution was used as the electrolyte.

### Computational Method

All density functional theory (DFT) calculations were performed using the Vienna *ab initio* simulation package (VASP). The projector augmented potential (PAW) method<sup>59</sup> was implemented. The Perdew-Burke-Ernzerhof (PBE)<sup>60</sup> functional at the generalized gradient approximation (GGA) level was used, and the plane wave basis set was cut off at the energy of 450 eV. The Methfessel-Paxton method was employed to speed up the wave functional convergence with an electronic temperature of 0.1 eV. The quasi-Newton scheme was used in geometry optimization and convergence criterion for the force was set at less than 0.02 eV/Å for all calculations. The calculated lattice constant of Au bulk was 4.159 Å. The Au surface was modeled using a five layers 2×2 Au(111) slab with the bottom 2 layers fixed. The slab and its image were separated by a vacuum region of 20 Å. Pd, anatase TiO<sub>2</sub>, and quartz SiO<sub>2</sub> overlayers were covered on the Au(111) surface to simulate the corresponding interfacial structures. The  $\Gamma$ -centered k-point sampling grid of 6 × 6 × 1 was adopted for geometric optimization. For the calculation of density of states (DOS), the k-point sampling grid was increased to 18 × 18 × 1.

### SUPPLEMENTAL INFORMATION

Supplemental Information can be found online at <https://doi.org/10.1016/j.chempr.2019.12.015>.

### ACKNOWLEDGMENTS

This work was supported by the National Natural Science Foundation of China (NSFC) (21703181, 21972117, 21925404, 21775127, 21427813, and 21673187), the Fundamental Research Funds for the Central Universities (20720190044 and 20720190018), and the State Key Laboratory of Fine Chemicals (KF1702). We thank Prof. M. Moskovits for helpful discussions and Y.H. Wang and T.M. Chen for experimental support.

### AUTHOR CONTRIBUTIONS

H.Z. and J.-F.L. developed the project and designed the experiments. H.Z., J.W., and Y.-J.Z. carried out the experiments. X.-G.Z. and D.-Y.W. conducted the DFT simulations. H.Z., P.M.R., F.P., Z.-Q.T., and J.-F.L. analyzed the data. All authors contributed to the preparation of the manuscript.

### DECLARATION OF INTERESTS

The authors declare no competing interests.

Received: June 22, 2019

Revised: September 26, 2019

Accepted: December 12, 2019

Published: January 9, 2020

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