

# Preparation and Reaction of Naked Metal Clusters for Catalysis and Genetic Materials<sup>①</sup>

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**ABSTRACT** Metal clusters that contain a small number of atoms usually present unique properties with dramatic dependence on their sizes, geometric structures, and compositions. The studies of naked metal clusters are devoted to develop new catalysts and functional materials of atomic precision, and enable to improve the fundamental theory of structure chemistry and to understand the basic reactions and properties bridging the gap between atoms and bulk materials. In particular, some interesting superatom clusters have received reasonable research interest indicative of materials gene of clusters. Here in this review, we simply summarize the preparation, stability, and reactivity of naked metal clusters with a few examples displayed. Hopefully it serves as a modest spur to stimulate more interest of related investigations in this field.

**Keywords:** metal clusters, structure chemistry, superatom, cluster reactivity;

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## 1 INTRODUCTION

When the size of metal particles is reduced to a few nanometers small enough to approach the de Broglie wavelength of electrons, unusual quantum-sized properties, such as ultra-high catalytic activity, dramatic optical, electronic and magnetic properties, could be achieved<sup>[1, 2]</sup>. The distinct performance of metal clusters at sub-nano scale is due to a variety of factors including large surface to bulk ratio and quantum confinement, which has a strong correlation with the cluster size, geometric/electronic structures and compositions<sup>[3, 4]</sup>. Over the last fifty years, considerable interest has been attracted in metal clusters. Various metal clusters protected by ligand monolayers have been synthesized, and their stabilities are often rationalized by a superatom characteristic of the metallic core. A majority of the monolayer-protected clusters (MPCs) finds core-shell structures<sup>[5, 6]</sup>, with several types of fundamental building blocks having been identified, including tetrahedral  $M_4$ <sup>[7, 8]</sup>, octahedral

$M_6$ <sup>[9]</sup>, bi-tetrahedral  $M_8$ <sup>[10]</sup>, hollow-cage  $M_{12}$ <sup>[11-13]</sup>, and in particular icosahedral  $M_{13}$ <sup>[14]</sup>. For example, a variety of MPCs like  $Au_{18}$ <sup>[15]</sup>,  $Au_{20}$ <sup>[16, 17]</sup>,  $Au_{25}$ <sup>[18]</sup>,  $Au_{30}$ <sup>[19, 20]</sup>,  $Au_{36}$ <sup>[21, 22]</sup>,  $Au_{38}$ <sup>[23]</sup>,  $Au_{55}$ <sup>[24-26]</sup>,  $Au_{60}$ <sup>[27]</sup>,  $Au_{102}$ <sup>[28]</sup> and so on have a 13-atom icosahedral inner core<sup>[29, 30]</sup>. The MPCs find enhanced stability in the presence of a superatom metallic kernel, and novel ligand-metal interaction could result in electronic accommodation enabling a transition from planar to three-dimensional structure<sup>[31-36]</sup>. For example, planar  $Au_{13}$  has a local minima energy while  $Al_{13}(SR)_x$  an icosahedral core<sup>[37, 38]</sup>. Even so, the nature of the metallic core is believed to play a determining role in the cluster structure evolution<sup>[39]</sup>, stability and electronic transition between frontier orbitals. Note that, the metal-metal bonding is weaker than ionic and covalent bonds, and the valence electrons of metal often occupy the higher energy levels of the cluster. It is unequivocally significant for insightful studies of naked metal clusters pertaining to catalysis and genetic materials.

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To study the chemistry of naked metal clusters, the prime object is to generate pure metal clusters in gas-phase experiments. With the development of supersonic expansion and molecule beam technique which became mature since 1980s, a variety of cluster sources have been used to form metal clusters<sup>[40]</sup>. Based on the studies of gas phase reactions, interestingly some naked metal clusters with specific geometry and electronic configurations can achieve outstanding stability or size-dependent reactivity, which is often understood with in the conceptual framework of superatoms<sup>[14, 41-44]</sup>. Also, extensive investigations of metal cluster reactivity have been conducted by theoretical methods<sup>[40, 45-48]</sup>. In this mini review, we simply summarize the recent advances of instrument and technique for metal cluster preparation, stability, and reactivity with a few examples in our own group. It is anticipated to appeal to colleagues to contribute more future investigations to metal cluster chemistry and genetic materials.

## 2 PREPARATION OF NAKED METAL CLUSTERS

Metal clusters in gas phase have become the ideal model for studying the structure and reaction mechanism because of

its definite and controllable atomic composition. However, the preparation of naked metal clusters is challenging because the strength of metal-oxygen bond is much larger than that of metal-metal bond<sup>[49-51]</sup>, and the interference of oxygen in system will block the generation of naked metal clusters. Instead, metal oxide clusters are relatively easier formed in gas phase experiments<sup>[52]</sup>. Among the approaches available for cluster generation, the laser-vaporization source (LaVa-source, also known as “Smalley source”)<sup>[53, 54]</sup> is mostly used as a convenient and highly flexible method. However, subject to the single-photon energy of ionization laser, the formation of neutral metal cluster is a larger challenge. Recently, uprising research interest has been attracted by a magnetron sputtering source (MagS-source, also known as gas condensation source)<sup>[55-58]</sup>, which takes advantages of high efficiency and controllability. Among others, thermal heated oven sources (also known as Knudsen ovens)<sup>[59-64]</sup> and pulsed arc-discharge method (also known as pick-up source)<sup>[65, 66]</sup> are also available for the production of different metal clusters in the gas phase. Besides, electrospray ionization (ESI)<sup>[67-69]</sup> is known as a mild ionization technology of solution samples applicable for observing MPCs synthesized in wet chemistry.

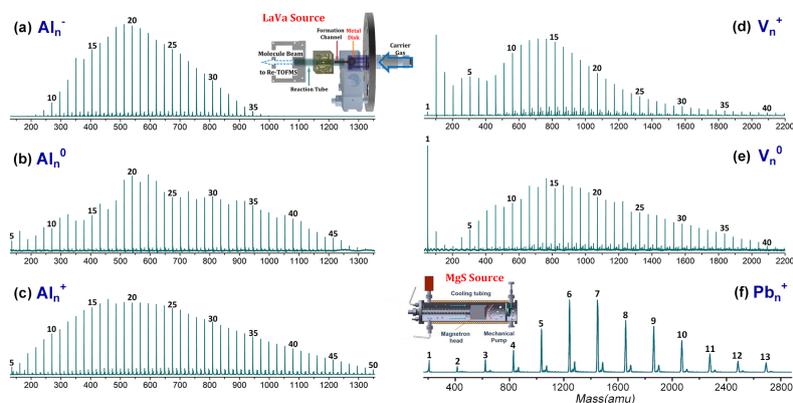


Fig. 1. Typical mass distributions of the naked metal clusters prepared by a LaVa source (a~e) and a MagS source (f)

Recently we have got an improvement in the instrumentation to prepare well-resolved naked metal clusters<sup>[70]</sup>. Fig. 1a~1c display the anionic, neutral and cationic aluminum clusters generated by our homemade LaVa-source, where the 532 nm laser (Nd: YAG, 10 Hz) was focused onto a translational and rotational motion metal disk in the presence of high purity helium as carrier gas which is controlled by a pulsed general valve. The molecular beam, of which the charged species can be deflected (optional for neutral cluster study) by an electric field located downstream

of the fast-flow reactor, then enters into the second chamber for mass analysis<sup>[71]</sup>. Similarly, Fig. 1d and 1e present the typical mass distributions of the vanadium clusters. It is notable that, as vanadium is more readily contaminated with oxygen, the preparation of naked metal clusters of such metals is not a trivial. Besides, MagS source is also available for the preparation of naked metal clusters. A typical example is shown in Fig. 1f.

In general, the influences of the nozzle length and inner diameter of cluster formation channel, vacuum degree, and

relative background pressure need to be meticulously evaluated and repeatedly tested in order to prepare well-resolved pure metal clusters. When the length of cluster formation channel is increased, larger metal cluster can be attained by slightly decreasing the inner diameter and simultaneously increasing the background pressure. It is notable that vacuum degree is an important parameter to avoid oxygen contaminations. Furthermore, benefited from the development of deep ultraviolet laser source (DUV)<sup>[72]</sup> of which the single-photon energy is up to 7 eV, the neutral metal clusters have been successfully prepared (Fig. 1b and 1e). Well-resolved pure metal clusters (Fig. 1) promote the research in the fields of metal cluster reactivity and stability<sup>[40, 70, 73-76]</sup>.

### 3 PROBING CLUSTER STABILITY AND SUPERATOM CHARACTERISTICS

The research interest in probing the gas-phase metal cluster stability can be traced back to 1980s when “magic numbers” in the mass spectra of free sodium clusters were observed by Knight and co-workers<sup>[77]</sup>. Following that, ongoing efforts are devoted to studying metal cluster stability by measuring the relative mass abundances of clusters formed in comparable conditions using mass spectrometry, by further reacting with oxygen or other appropriate reactants, or by evaluating the size-dependent reactivity of mass-selected clusters<sup>[78, 79]</sup>. Based on near-free electron gas (NFE) theory, a “jellium model” is used to account for the enhanced stability of these specific metal clusters<sup>[43, 80-86]</sup>. Within this jellium model, metal clusters with closed electronic shells often exhibit a large HOMO-LUMO gap, hence enhancing the stability and reducing the reactivity. However, not all metal clusters are

subject to the same fundamental constraints. For example, metal clusters of favorable geometry within Mackay icosahedrons often find prominent stability<sup>[87]</sup>. On this basis, stable clusters are anticipated to be associated with a geometric and/or electronic shell closure<sup>[4, 43, 86]</sup>.

The novelty of metal cluster chemistry is far more than the discovery of stable species with regular structures hence to understand how they are chemical inert; instead, it enables to create new materials which consist of clusters instead of atoms as building blocks. The cluster-genetic materials based on superatoms could fuel the hope to synthesize materials from the bottom-up with atomic precision and unique tailored properties as a function of size, shape, and composition. For example, a magic cluster  $\text{Al}_{13}^-$  having both an electronic and a geometric shell closure structures was found to be resistant to oxygen etching<sup>[88]</sup>, as shown in Fig. 2a. The electronic levels in  $\text{Al}_{13}^-$  correspond to  $|1S^2|1P^6|1D^{10}|2S^2|2P^6|1F^{14}||2D^0|1G^0|$  shell structure, which is similar to the atomic orbitals of  $\text{Cl}^-$ . Further insights into Al-based cluster reactivity have also been attained for Al-Mg alloy metal clusters (Fig. 2b)<sup>[89]</sup>. Considering magnesium is divalent and aluminum is trivalent, the Al-Mg alloy clusters actually offer larger variations over the electron counts than pure aluminum clusters. As shown in Fig. 2d, the HOMO-LUMO gaps also account for their relative stability as functions of the number of valence electrons and associate with their electronic configuration and superatom orbital characteristics. Specifically, largely delocalized electron cloud density gives rise to significant superatom orbital characteristics pertaining to all-metal aromaticity<sup>[90]</sup> which, in turn, enhances the cluster stability. Some other metal clusters such as  $\text{Ag}_{13}^-$  also exhibit enhanced stability and superatom orbital patterns, although their lowest energy structures are not icosahedral<sup>[91, 92]</sup>.

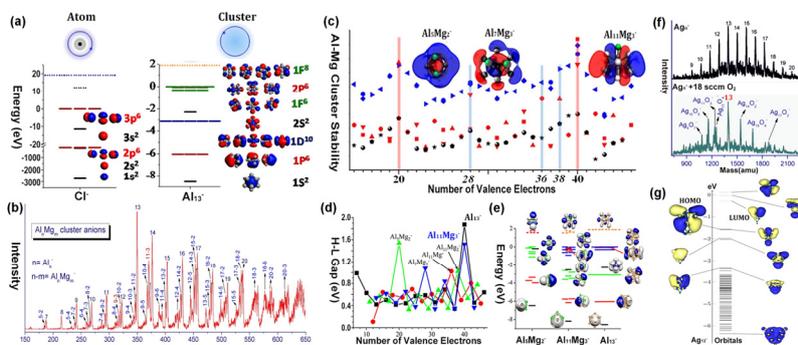


Fig. 2. (a) Electronic structure and atomic and molecular orbitals of  $\text{Cl}^-$  and  $\text{Al}_{13}^-$ <sup>[86]</sup>. (b) Mass spectrum of  $\text{Al}_n\text{Mg}_m^-$  anions<sup>[89]</sup>. (c) Al-Mg cluster stability corresponding to the number of valence electrons. (d) Calculated HOMO-LUMO gaps of  $\text{Al}_n\text{Mg}_m^-$  plotted versus the number of valence electrons. (e) Molecular orbital diagrams of  $\text{Al}_5\text{Mg}_2^-$ ,  $\text{Al}_{11}\text{Mg}_3^-$ , and  $\text{Al}_{13}^-$ . (f) Mass spectra of silver cluster anions ( $\text{Ag}_n^-$ ) produced via a MagS source after exposure to oxygen<sup>[91]</sup>. (g) Calculated molecular orbitals of  $\text{Ag}_{13}^-$ .

## 4 REACTIVITY AND CATALYSIS

### 4.1 Gas-phase reactions of naked metal clusters

Metal cluster reactions with small molecules cannot only provide insights into the reactivity and property of metals at reduced sizes, but also facilitate the comprehensive understanding of condensed phase physics and surface chemistry<sup>[40]</sup>. In general, as indicated by the even-odd oscillations of HOMO-LUMO gaps, binding energies, ionization energies, etc. of the metal clusters, the metal cluster reactivity generally undergoes odd-even alternation effect especially for the charge-transfer dominated reactions. Besides, certain reactions of the metal clusters could exhibit strong size-dependence and charge-state variation; also, some could be ADE-dependent reactions, with likely long-range charge transfer corresponding to the harpoon mechanism. Besides, the multiple valence states could account for the redox reactions of metal clusters with oxygenic chemicals<sup>[70, 73, 74]</sup>. For example, the transition metal vanadium has diverse valence state ranging from -1 to +5, which allows various  $V_nO_m^+$  clusters to be produced in the reaction of " $V_n^+ + O_2$ ". It is notable that, for such transition metals, both the oxygen-etching and oxygen-addition mechanisms could coexist in the gas-phase experiments, rendering a complex mechanism of their reactivity with oxygen.

Extensive experimental and theoretical investigations have also been conducted for metal clusters reacting with water due to the importance of hydrogen evolution<sup>[93-97]</sup>. A typical example illustrated the size effect of aluminum cluster anion in reacting with water<sup>[98]</sup>, where  $Al_{12}^-$  was found to be the smallest cluster that can react with water to form an adsorptive product; in comparison, a few clusters like  $Al_{16}^-$ ,  $Al_{17}^-$  and  $Al_{18}^-$  can produce  $H_2$  by reacting with multiple water. It demonstrated that the dissociation of water to form  $H_2$  on gas-phase aluminum anion clusters can be facilitated by collaborative effect of the Lewis acid and Lewis base sites. Furthermore, complementary active Lewis acid/base sites, resulting from irregular charge distribution on the cluster surface, account for the size-dependent reactivity of aluminum clusters with water. Similar hydrogen evolution reactions have also been found for aluminum clusters reacting with alcohols<sup>[99]</sup>, allowing for a competition and likely self-catalysis in the presence of multiple -OH reactants.

Besides, the reactivity of thiols with metal clusters

provided an insight into the C-S bond activation<sup>[100, 101]</sup>. As ethanethiol is used as a typical reagent of thiol groups in chemical synthesis, many studies have examined the reactivity of ethanethiol with gas-phase metal clusters. A study of the  $Ag_n^-$  clusters reacting with ethanethiol showed that, the clusters of an even number of silver atoms are more reactive than those with an odd number, indicating the  $Ag_n^-$  clusters with an unpaired electron can react more readily toward ethanethiol due to the facile metal-to-thiol electron transfer interactions<sup>[101]</sup>. For cluster reaction with chlorine, the joint experimental and theoretical studies revealed that  $Cu_8^-$  and  $Ag_8^-$  clusters have high reactivity with chlorine through a novel harpoon mechanism<sup>[102]</sup>. Besides the strong charge transfer in forming ionic and covalent bonds, relative weak cluster- $\pi$  interactions could also cause size-dependent reactivity of metal clusters<sup>[75, 103, 104]</sup>. For example, a recent study of  $Ag_n^+$  clusters reacting with acetylene found that only  $Ag_7^+[C_2H_2]$  was observed as the reaction product in the rich-pressure collision conditions. Analysis based on DFT calculations found that  $Ag_7^+[C_2H_2]$  bears larger binding energy than the other  $Ag_n^+[C_2H_2]$ , that is, a minor difference of the cluster structure causes significantly altered cluster- $\pi$  interaction, thus enabling to screen out the clusters with only one-atom difference<sup>[105]</sup>.

### 4.2 Catalysis of supported metal clusters

Supported metal catalysts have been widely used in industrial processes due to the excellent performance for enhancing reaction efficiency, but the fundamental mechanism is too complicated to be elucidated as in real situation<sup>[1, 106]</sup>. By soft-landing deposition, selected metal clusters on support can provide an essential way to exploit the interface interactions, which is an attractive strategy to improve the catalytic reaction selectivity and efficiency of the catalysts. Much recent work has focused on studying the catalysis of size-selected metal clusters supported on different substrates<sup>[47, 107-112]</sup>. For example, S. Vajda and co-workers<sup>[113-116]</sup>, J. Laskin and G. Johnson *et al.*<sup>[117]</sup> conducted investigations to figure out the size effect of supported clusters for many chemical reactions. It was shown that the size-selected  $Ag_3$  clusters on alumina supports exhibit a high activity for direct propylene epoxidation with only a negligible amount of  $CO_2$  formation at low temperature, which is benefit from the open-shell nature of the cluster electronic structure<sup>[118]</sup>. Such small cluster catalysis is believed to stimulate more research interest in this field<sup>[119, 120]</sup>. Also it was found that graphene

sheet's dephasing lengths increased in the presence of Pd cluster deposition<sup>[121]</sup>, and the size of supported Pd<sub>n</sub> clusters can affect the reactivity for electrochemical water oxidation<sup>[122]</sup>, where Pd<sub>4</sub> has no reaction while the soft-landed Pd<sub>6</sub> and Pd<sub>17</sub> clusters achieve the reactivity equal to the most active catalysts known. Among others, R. G. Cooks<sup>[123]</sup>, A. Nakajima<sup>[124]</sup> and U. Heiz<sup>[125-127]</sup> studied the different-sized metal clusters on supports for hydrogenation reaction, oxygen reduction reaction and so forth, revealing the dramatic size-dependence of metal cluster catalysis and opening up a new method for future catalyst design.

## 5 SUMMARY AND PERSPECTIVE

In summary, naked metal clusters in gas phase and on solid support provide an ideal model for studying the catalytic activity, electronic and magnetic properties, and atomically precise reaction mechanisms. The development of

mass spectrometry, cluster generation and soft-landing deposition methods enable to prepare a variety of naked metal clusters with diverse stability and properties. Insights obtained from superatom chemistry enable to tailor unique reactivity and electronic properties of metal clusters, promoting the potential applications of naked metal clusters as catalysts and genetic materials. Furthermore, the chemical interactions, electronic structures and specific sizes affect the affinities and reactivities of metal clusters toward specific reactants, giving rise to better understanding of the fundamentals involved in many metal-related chemical processes, including catalysis, crystal nucleation and growth, phase transformation and combustion. Looking forward, reasonable efforts will be paid to highly-efficient and large-scale preparation of naked metal clusters in order to realize practical applications of size-selected and structure-determined functional cluster materials.

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